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& LIONE**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: George V. Guyan et al.Appln. No.: 09/305,146Filed: May 4, 1999For: COMPONENT BASED INFORMATION
LINKING DURING CLAIM PROCESSINGExaminer: Rimell, S.Art Unit: 2175Attorney Docket No: 10022/252-1

Mail Stop Appeal Brief-Patents
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Response to Notification of Non-Compliant Appeal Brief and Summary of Claimed Subject Matter.
☒ Return Receipt Postcard.

Fee calculation:

- ☒ No additional fee is required.
☐ Small Entity.
☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(____).
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			X100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+ \$360=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

John C. Freeman, Esq. (Reg. No. 34,483)

March 28, 2007
Date

**BRINKS
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Date of Deposit: March 28, 2007

PATENT
CASE NO. 10022/252-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application:)
)
George V. Guyan et al.)
) Group Art Unit: 2175
)
Serial No.: 09/305,146)
) Examiner: Rimell, S.
Filed: May 4, 1999)
)
For: COMPONENT BASED)
INFORMATION LINKING)
DURING CLAIM)
PROCESSING)

RESPONSE TO NOTIFICATION OF NON-COMPLIANT APPEAL BRIEF

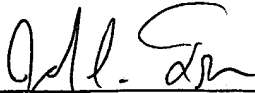
Mail Stop Appeal Brief-Patents
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

On March 9, 2007 a Notification of Non-Compliant Appeal Brief ("the Notification") was mailed in which it was asserted that Appellants' Amended Appeal Brief filed on November 13, 2006 did not contain a concise explanation of the subject matter defined in each of the independent claims. The Notification stated that the Summary of Claimed Subject Matter filed on November 13, 2006 contained subject matter not correlated to the claims from page 3, paragraph 3 to page 10, paragraph 1. Appellants traverse the assertion in that 37 CFR § 41.37(c)(1)(v) and MPEP § 1205.02 do not require that the entire text of the Summary of Claimed Subject Matter section of an Appeal Brief be correlated to the claims. Despite the improperness of the Notification, Appellants are

submitting with the present paper an amended Summary of Claimed Subject Matter section that has deleted the offending paragraphs mentioned in the Notification.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John C. Freeman", is written over a horizontal line.

John C. Freeman
Registration No. 34,483
Attorney for Appellants

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Dated: March 28, 2007

V. SUMMARY OF CLAIMED SUBJECT MATTER

Claim 22 claims the invention as a system for displaying information about an insurance claim for an insured event. The system includes a server component having an event processor and a task engine application program that interacts with the event processor to enable the insurance claim to be processed. An example of such a server component can be found from the server component 222 shown in FIGS. 2A and 8 (P. 137, ll. 29-31). Claim 22 further includes a data component residing on the server component, the data component having a claim folder that decomposes a claim related to the insured event into a plurality of levels, the plurality of levels including a policy level, a claim level, a participant level and a line level. An example of such a data component can be found in the description of the claim folder 1402 at page 141, lines 1-7 and 15-26 and page 143, lines 7-9 of Appellants' Specification. Claim 22 clarifies that the server component is configured to generate a user interactive interface that interactively displays at least one of the plurality of levels reflecting information related to a policy, the claim, claimants and an insured person in a structured format to a plurality of users, and to allow each of the users to simultaneously interact with one of the plurality of levels to retrieve and enter data for the same insurance claim. An example of such a configuration can be found in the Claim Folder 1402 of FIG. 14 with its view and edit modes as described at page 138, lines 15-16, page 144, ll. 25-26 and pages 145-147 of Appellants' Specification. Claim 22 further clarifies that the event processor maintains clear encapsulation of responsibilities of the system for displaying information from the event processor, wherein the responsibilities do not include functions performed by the event processor, interacts with the data component to

identify a data event that affects data in the claim folder, determines a response, identifies a system component to enable the claim to be processed and transmits the data event to the identified system component. An example of such a configuration can be found in FIG. 14 and is described at page 9, lines 23-25 and page 185, lines 9-16 and 19-23 of Appellants' Specification. Claim 22 further clarifies that the identified system component is the task engine, the task engine evaluates the data event, determines claim characteristics and matches the characteristics to tasks to automatically generate a list of tasks to be taken by one of the plurality of users handling the insurance claim to direct a workflow for the insurance claim to be processed. An example of such a task engine can be found with the Task Engine 1404 of FIGS. 14 and 15 and described at page 137, lines 29-31, page 183, lines 29-30. page 184, lines 1-3 and page 185, lines 18-20 of Appellants' Specification.

Claim 66 claims the invention as a system that displays insurance claim about an insured event. The claimed system includes an event processor that identifies a data event, determines a response, identifies a system component to process an insurance claim and transmits information regarding the data event to the identified system component. An example of such an event processor is the Event Processor 1400 shown in FIGS. 14-15 and described at page 185, lines 9-16 and 19-23 of Appellants' Specification. Claim 66 further includes a task engine application program that interacts with the event processor to enable the insurance claim to be processed. An example of such a task engine application program can be found with the Task Engine 1404 of FIGS. 14 and 15 and described at page 137, lines 29-31, page 183, lines 29-30. page 184, lines 1-3 and page 185, lines 18-20 of Appellants' Specification. Claim 66 includes

a data component having a claim folder that decomposes a claim related to the insured event into a plurality of levels, the plurality of levels including a policy level, a claim level, a participant level and a line level. An example of such a data component can be found in the description of the claim folder 1402 at page 141, lines 1-7 and 15-26 and page 143, lines 7-9 of Appellants' Specification. Claim 66 further includes a user interactive interface that is generated by a server that interactively displays information from at least one of the plurality of levels in a structured format to a plurality of users, allowing each of the users to simultaneously interact with one of the plurality of levels to retrieve and enter data for the same insurance claim, the entered data triggering the data event. An example of such a user interactive interface can be found in relation with the Claim Folder 1402 of FIG. 14 with its view and edit modes as described at page 138, lines 15-16, page 144, ll. 25-26 and pages 145-147 of Appellants' Specification. Claim 66 further clarifies that when the event processor identifies the task engine as the system component to process the insurance claim, the task engine evaluates the event, determines claim characteristics for the event and matches the characteristics to tasks to automatically generate a list of tasks to be taken by one of the plurality of users handling the insurance claim to direct a workflow for the insurance claim to be processed. An example of such a task engine can be found with the Task Engine 1404 of FIGS. 14 and 15 and described at page 137, lines 29-31, page 183, lines 29-30. page 184, lines 1-3 and page 185, lines 18-20 of Appellants' Specification.

Claim 67 claims the invention as a system that displays insurance claim information. The claimed system includes a data component that includes a claim folder that decomposes a claim related to an insured event into a plurality of levels, the

plurality of levels include a policy level, a claim level, a participant level and a line level. An example of such a data component can be found in the description of the claim folder 1402 at page 141, lines 1-7 and 15-26 and page 143, lines 7-9 of Appellants' Specification. Claim 67 includes a user interactive interface that is generated and interactively displays information from at least one of the plurality of levels in a structured format to a plurality of users, wherein a plurality of users via a plurality of interfaces is allowed to simultaneously interact with one of the plurality of levels to retrieve and enter data on the same insurance claim. An example of such a user interactive interface can be found in relation with the Claim Folder 1402 of FIG. 14 with its view and edit modes as described at page 138, lines 15-16, page 144, ll. 25-26 and pages 145-147 of Appellants' Specification. Claim 67 further includes an event processor that identifies the entered data as a data event, determines a response for the data event and identifies a system component to process the response and transmits information for processing the claim to the identified system component. An example of such an event processor is the Event Processor 1400 shown in FIGS. 14-15 and described at page 185, lines 9-16 and 19-23 of Appellants' Specification.

There are no means-plus-function terms or step-plus-function terms in independent claims 22, 66, 67, which are argued separately below in Section VII.